

Why Swan

Swan Wealth is a fee-based investment adviser working with retirees and young professionals. Our partners met as teammates on the Regis University golf team and spent years with investment managers and financial firms across the country, before coming back together to serve clients directly.

WHAT SETS US APART

01

Taxes and investments, coordinated
Your portfolio and your tax return should not be planned in isolation. With an IRS Enrolled Agent on our team, tax strategy is part of every investment decision.

02

Your whole plan on one page
The deep analysis behind your plan is distilled into a single page you can read, understand, and act on, instead of a binder that collects dust.

03

A real number to spend
Guardrail based income planning gives you a monthly dollar amount, not a percentage. Guardrails can raise or lower that amount as markets and your life change.

04

Gaps found and filled
Insurance, tax forms, estate documents, and beneficiaries get addressed and understood.

05

Proactive communication
We monitor your plan on an ongoing basis, adjust as needed, and reach out to keep you informed rather than waiting for you to call.

06

Clear, fee-based pricing
One tiered advisory fee that decreases as your assets grow. Planning advice is part of the advisory relationship. Accounts are held in your name at Altruist, an independent custodian.

THE SWAN SYSTEM

1 Discover

We start with your purpose, not your numbers.

2 Build

Your full financial picture becomes one clear written plan.

3 Fill the gaps

Insurance, tax forms, estate documents, and beneficiaries are handled.

4 Execute

We put the plan in motion, keep it current, and communicate proactively.

THE SWAN PLANNING METHOD

Investments

Dynamic Income Planning

Proactive Tax Planning

Estate Planning

Protection

WHO YOU WILL WORK WITH

TB

TJ Bruce, EA
CO-OWNER | WEALTH PLANNER

MM

Mike Mahalich
CO-OWNER | WEALTH PLANNER

DS

Donny Stewart, CFP®
CO-OWNER | WEALTH PLANNER

ADVISORY FEE SCHEDULE

ASSETS UNDER MANAGEMENT	ANNUAL RATE
Up to \$250,000	1.15%
\$250,001 to \$2,000,000	1.00%
\$2,000,001 to \$5,000,000	0.90%
\$5,000,001 to \$10,000,000	0.80%
Over \$10,000,000	0.60%

Billed monthly in arrears. See Swan's Form ADV Part 2A for full disclosure of fees and services.

Sleep Well At Night

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